

## Internal Audit Plan 2006/07 - Revision at 12 December 2006

Category	Job No	Job	Base	Adj	Plan Total	To Date	Remaining	Revised
Advice and Support	AD/****	All Advice	100.0	-25.0	75.0	51.4	23.6	75.0
	03/0509	RIPA Monitoring and Central Register	0.0	5.0	5.0	3.2	1.8	5.0
	03/0549	News 'n' Views Editorial Team	0.0	2.0	2.0	1.2	0.8	2.0
	04/0591	Access to Services - Staff Focus Group	0.0	2.0	2.0	1.1	0.9	2.0
	05/0618	Poulton Neighbourhood Management Grant Audit 2004/05	0.0	0.1	0.1	0.1	0.0	0.1
	05/0623	Procurement Cover	0.0	2.5	2.5	1.9	0.6	2.5
	05/0629	Luneside East Grant Audit 2004/05	0.0	5.3	5.3	5.3	0.0	5.3
	06/0637	Performance Management Support	0.0	3.6	3.6	3.6	0.0	3.6
	06/0656	Use of Resources 2006	0.0	11.8	11.8	10.8	1.0	11.8
	06/0659	LPSA Grant Claim	0.0	2.5	2.5	0.9	1.6	2.5
		General Support Work Unallocated	25.0	-25.0	0.0	0.0	0.0	0.0
	06/0628	Corporate Project Management Development	0.0	60.0	60.0	52.2	7.8	60.0
	06/0630	Procurement Strategy Implementation 2006/07	0.0	20.4	20.4	18.4	2.0	20.4
	06/0633	Risk Management Strategy Implementation 2006/07	0.0	25.0	25.0	22.1	2.9	25.0
	Project Support Unallocated	60.0	-60.0	0.0	0.0	0.0	0.0	
<b>Advice and Support Total</b>			<b>185.0</b>	<b>30.2</b>	<b>215.2</b>	<b>172.2</b>	<b>43.0</b>	<b>215.2</b>
Audit Planning and Monitoring	95/0189	Audit Planning & Client Side Monitoring	30.0	0.0	30.0	25.2	4.8	30.0
	97/0172	Committee & Service Group Work	15.0	2.0	17.0	14.6	2.4	17.0
<b>Audit Planning and Monitoring Total</b>			<b>45.0</b>	<b>2.0</b>	<b>47.0</b>	<b>39.8</b>	<b>7.2</b>	<b>47.0</b>
Computer Audits	04/0621	BS7799	0.0	3.9	3.9	3.9	0.0	3.9
	06/0643	Information Security Development	0.0	19.0	19.0	18.1	0.9	19.0
	05/0624	New HR & Payroll System	0.0	5.0	5.0	4.2	0.8	5.0
		Computer Audit Unallocated	50.0	-27.9	22.1	0.0	22.1	22.1
<b>Computer Audits Total</b>			<b>50.0</b>	<b>0.0</b>	<b>50.0</b>	<b>26.2</b>	<b>23.8</b>	<b>50.0</b>
Contingencies	04/0563	Investigation - Property Purchases	0.0	3.5	3.5	2.4	1.1	3.5
	06/0640	Investigation - Parksafes Discrepancy	0.0	11.4	11.4	11.4	0.0	11.4
		Investigations Unallocated	35.0	-14.9	20.1	0.0	20.1	20.1
	04/0580	Follow-Up Reviews	70.0	-6.5	63.5	48.0	15.5	63.5
		General Contingency	45.0	0.0	45.0	0.0	45.0	45.0
<b>Contingencies Total</b>			<b>150.0</b>	<b>-6.5</b>	<b>143.5</b>	<b>61.8</b>	<b>81.7</b>	<b>143.5</b>
Efficiency & Value For Money	06/0654	Efficiency & VFM Strategies	20.0	0.0	20.0	6.0	14.0	20.0
		Efficiency & VFM Projects	20.0	0.0	20.0	0.0	20.0	20.0
		Specific Efficiency & VFM Reviews	20.0	0.0	20.0	0.0	20.0	20.0
<b>Efficiency &amp; Value For Money Total</b>			<b>60.0</b>	<b>0.0</b>	<b>60.0</b>	<b>6.0</b>	<b>54.0</b>	<b>60.0</b>
Major Financial Systems		Housing Rents	5.0	10.0	15.0	0.9	14.1	15.0
		Sundry Debtors	4.0	1.0	5.0	0.0	5.0	5.0
	06/0655	Housing Benefits & Council Tax Benefits	25.0	-4.5	20.5	15.1	5.4	20.5
	06/0641	Income Management	15.0	0.0	15.0	13.5	1.5	15.0
	06/0650	Council Tax	4.0	5.0	9.0	7.0	2.0	9.0
	06/0648	NNDR	4.0	2.0	6.0	5.4	0.6	6.0
	06/0647	Creditor Payments	4.0	2.0	6.0	2.6	3.4	6.0
	06/0649	Payroll	4.0	1.0	5.0	0.1	4.9	5.0
	06/0651	Treasury Management	4.0	1.0	5.0	1.3	3.7	5.0
		Asset Management	15.0	0.0	15.0	0.0	15.0	15.0
	06/0611	Fraud & Corruption 2006/07	22.0	0.0	22.0	12.2	9.8	22.0
<b>Major Financial Systems Total</b>			<b>106.0</b>	<b>17.5</b>	<b>123.5</b>	<b>58.1</b>	<b>65.4</b>	<b>123.5</b>

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Category	Job No	Job	Base	Adj	Plan Total	To Date	Remaining	Revised
Management Arrangements	06/0632	Transport Policy and Strategy	15.0	-7.0	8.0	7.6	0.4	8.0
	93/0128	Construction Capital Contracts - Vertical Audits	0.0	2.5	2.5	2.0	0.5	2.5
	93/0129	Engineering Capital Contracts - Vertical Audits	0.0	2.5	2.5	1.7	0.8	2.5
	05/0625	Corporate Governance and SIC 2005/06	10.0	0.5	10.5	10.5	0.0	10.5
		Corporate Governance and SIC 2006/07	30.0	-0.5	29.5	0.0	29.5	29.5
		Performance Management	25.0	0.0	25.0	0.0	25.0	25.0
	06/0608	Partnership Arrangements	20.0	0.0	20.0	6.0	14.0	20.0
	06/0644	Legality Issues	15.0	0.0	15.0	0.0	15.0	15.0
		Risk Management	20.0	0.0	20.0		20.0	20.0
	Workforce Management Strategy	15.0	0.0	15.0	0.0	15.0	15.0	
<b>Management Arrangements Total</b>			<b>150.0</b>	<b>-2.0</b>	<b>148.0</b>	<b>27.8</b>	<b>120.2</b>	<b>148.0</b>
Non Audit Work	00/0392	Deputy S151 Officer Duties	5.0	0.0	5.0	3.3	1.7	5.0
<b>Non Audit Work Total</b>			<b>5.0</b>	<b>0.0</b>	<b>5.0</b>	<b>3.3</b>	<b>1.7</b>	<b>5.0</b>
Operational Audits	06/0631	Housing Repair & Maintenance Services	12.0	2.0	14.0	8.9	5.1	14.0
	06/0646	Renewal (Strategic Housing)	15.0	0.0	15.0	1.0	14.0	15.0
	06/0638	Homelessness & Housing Advice	12.0	0.0	12.0	8.3	3.7	12.0
	06/0653	Street Cleansing	15.0	-15.0	0.0	0.0	0.0	0.0
	06/0652	Waste Management	15.0	0.0	15.0	7.2	7.8	15.0
		Environmental Protection	15.0	0.0	15.0	0.1	14.9	15.0
		Licensing	12.0	0.0	12.0	1.1	10.9	12.0
	06/0645	Car Parking- Provision & Control	10.0	0.0	10.0	6.0	4.0	10.0
	06/0642	Building Control	10.0	6.5	16.5	12.2	4.3	16.5
	06/0635	Tourism - Promotion & Facilities	12.0	0.0	12.0	9.3	2.7	12.0
	06/0634	Elections & Electoral Registration	10.0	0.0	10.0	3.0	7.0	10.0
		Facilities Management	15.0	0.0	15.0	0.0	15.0	15.0
	<b>Operational Audits Total</b>			<b>153.0</b>	<b>-6.5</b>	<b>146.5</b>	<b>57.1</b>	<b>89.4</b>
Secondary Financial Systems	06/0636	Value Added Tax	10.0	0.3	10.3	10.3	0.0	10.3
	06/0639	Stores	10.0	5.0	15.0	15.0	0.0	15.0
<b>Secondary Financial Systems Total</b>			<b>20.0</b>	<b>5.3</b>	<b>25.3</b>	<b>25.3</b>	<b>0.0</b>	<b>25.3</b>
<b>Total - 2006/07 Plan</b>			<b>924.0</b>	<b>40.0</b>	<b>964.0</b>	<b>477.6</b>	<b>486.4</b>	<b>964.0</b>
<b>Work In Progress Bfwd As At 01 April 2006</b>								
Major Financial Systems	05/0619	Housing Rents	0.0	0.0	8.0	8.0	0.0	8.0
	05/0598	Sundry Debtors 2005/06	0.0	0.0	3.8	3.8	0.0	3.8
	05/0626	Housing Benefits 2005/06	0.0	0.0	0.5	0.7	0.0	0.7
	05/0615	Council Tax 2005/06	0.0	0.0	1.6	1.6	0.0	1.6
	05/0596	Payroll 2005/06	0.0	0.0	10.6	10.6	0.0	10.6
	05/0616	Main Accounting 2005/06	0.0	0.0	5.0	5.0	0.0	5.0
Management Arrangements	05/0604	Health and Safety	0.0	0.0	9.1	9.1	0.0	9.1
	05/0609	Performance Management	0.0	0.0	15.6	15.6	0.0	15.6
	05/0575	Project Management	0.0	0.0	0.3	0.3	0.0	0.3
	Communications	0.0	0.0	22.9	22.9	0.0	22.9	
Operational Audits	05/0607	Regeneration Programmes	0.0	0.0	5.9	5.9	0.0	5.9
	04/0589	Commercial Property Management	0.0	0.0	1.0	0.0	1.0	1.0
Secondary Financial Systems	05/0606	Income Tax and National Insurance	0.0	0.0	4.3	4.3	0.0	4.3
<b>Total - Work In Progress at 01/04/06</b>			<b>0.0</b>	<b>0.0</b>	<b>88.6</b>	<b>87.8</b>	<b>1.0</b>	<b>88.8</b>
<b>Grand Total - All 2006/07 Workload</b>			<b>924.0</b>	<b>40.0</b>	<b>1052.6</b>	<b>565.4</b>	<b>487.4</b>	<b>1052.8</b>